



## BOARD OF DIRECTORS

Chairman Bob Campbell  
Sam Abed  
Rocky Chavez  
Carl Hilliard  
Bill Horn  
Chris Orlando  
Mark Packard  
Dave Roberts  
Jerome Stocks

Matthew Tucker, Executive Director  
C. Michael Cowett, General Counsel

## AGENDA

### PLANNING COMMITTEE MEETING

Chairman Jerome Stocks, Sam Abed, Chris Orlando, Mark Packard  
Staff Committee Liaison: Tom Lichterman

**Thursday, April 2, 2009 – 3:00 PM**  
**311 South Tremont, Oceanside, California**

1. Open Meeting
2. Changes to the Agenda
3. Public Hearing and Adoption of Program of Projects to be Funded with the American Recovery and Reinvestment Act (ARRA) Funding ([Attachment 3A](#) and [Attachment 3B](#))
4. Caltrans Grant Applications ([Attachment 4A](#) and [Attachment 4B](#))
5. Smart Parking Research Study Update ([Attachment 5A](#))
6. Compass Card Status Update and Marketing Plan
7. Update on FY 2010 Budget
8. Other Matters
9. Public Comment
10. Next Meeting: Thursday, **May 7, 2009**, 3:00 p.m., 311 South Tremont, Oceanside, CA
11. Adjournment

*For individuals with disabilities, we will provide assistive services. To obtain such services or copies of documents in an alternate format, please call or write, a minimum of 72 hours prior to the event, to request these needed reasonable modifications. We will make every attempt to accommodate requests that do not give 72 hour notice. Please contact our Clerk of the Board at (760) 967-2808.*

*Persons with hearing impairment please use the California Relay Service (CRS): 800-735-2929 using TTY; 800-735-2922 using voice; 800-735-0373 for CRS Customer Service; 800-855-3000 for Spanish.*

*Any writings or documents provided to a majority of the members of the NCTD Board of Directors regarding any item on this agenda will be made available for public inspection in the department of the Clerk of the Board located at 810 Mission Avenue, Oceanside, CA 92054, during normal business hours.*



STAFF REPORT TO THE PLANNING COMMITTEE

Agenda Item # 3

TITLE: PUBLIC HEARING AND ADOPTION OF PROGRAM OF PROJECTS TO BE FUNDED WITH THE AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA) FUNDING

Time Sensitive [X] Consent [ ]

STAFF RECOMMENDATION:

Conduct a public hearing and approve the attached resolution authorizing the Executive Director or his designees to take actions necessary to implement projects to be funded by the American Recovery and Reinvestment Act of 2009 (ARRA). Such actions are expected to include:
• Approve the submittal of proposed projects for inclusion in the 2008 Regional Transportation Improvement Program (RTIP);
• Submit required grant applications and any other actions required by FTA and/or Caltrans to secure ARRA funding; and
• Submit required documentation and any other actions required by SANDAG in conjunction with amendment of the RTIP, approval of the projects, and securing ARRA funding.

BACKGROUND INFORMATION:

The American Recovery and Reinvestment Act of 2009 (ARRA), was signed by President Obama on February 17, 2009. ARRA includes appropriations and tax law changes of approximately \$787 billion to support multi-pronged efforts to stimulate the economy. Goals of the statute include the preservation or creation of jobs and promotion of an economic recovery, as well as investment in transportation, environmental protection, and other infrastructure providing long-term economic benefits.

Of the \$787 billion of spending and tax law changes in ARRA, over \$48 billion (approximately 6%) will be invested in transportation, infrastructure, facilities, and equipment through four different federal agencies:

- The Secretary of Transportation has received an appropriation of \$1.5 billion for a competitive surface transportation grant program, including public transportation projects.
• The Federal Highway Administration (FHWA) has received \$27.5 billion for projects eligible under their Highways and Bridges program, including public transportation.
• The Federal Railroad Administration (FRA) has received \$8 billion for high speed and intercity rail grants.
• The Federal Transit Administration (FTA) has received \$8.4 billion for three categories of funding: (1) Transit Capital Assistance, (2) Fixed Guideway Infrastructure Investment, and (3) Capital Investment Grants (New Starts/Small Starts).

Oversight and administrative takedowns ranging from .5% to 1% of the gross amounts represent the difference between the gross and net amounts available.

Of the three categories of FTA funding described above, NCTD is eligible to receive two types: (1) Transit Capital Assistance which makes Federal resources available to urbanized areas, non-urbanized areas, Federally recognized Indian Tribes and to Governors for transit capital and operating assistance and for transportation related planning, and (2) Fixed Guideway Infrastructure Investment which provides Federal resources to modernize or improve fixed guideway systems and/or to maintain a state of good repair. NCTD is eligible to receive Transit Capital Assistance under both the urbanized area formula program (Section 5307) and the non-urban formula program (Section 5311). NCTD is eligible to apply for a total of \$25,679,903 in ARRA funding at this time, including \$24,239,815 for Section 5307, \$861,850 for Section 5309 Fixed Guideway and \$578,238 for Section 5311 directly and/or through SANDAG under this stimulus package.

ARRA specifies that funds are to be used only for capital expenditures as defined under current FTA law in Title 49, U.S.C. Chapter 53. In accordance with 49 U.S.C. section 5302(a)(1), eligible capital projects include preventive maintenance. Unlike many other FTA programs which typically require a local match, ARRA provides up to 100% of the net project cost. ARRA funds are available for a short period of time only; at least 50% of ARRA funds available under the Transit Capital Assistance and Fixed Guideway Infrastructure Investment apportioned in the March 5, 2009 Federal Register must be obligated in a grant no later than Sept 1, 2009 or funding will be forfeited. ARRA also includes/imposes other requirements on recipients, including the following:

- Certification – The Chief Executive is required to certify that the infrastructure investment has received the full review and vetting required by law and that the Chief Executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. This certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used. This certification is required to be posted on a website and linked to the Recovery.gov website. An agency may not receive funding under ARRA unless this certification is made and posted.
- Reporting – ARRA imposes extensive reporting requirements on recipients. Reports require more extensive and more frequent reporting within 10 days of quarter end.

NCTD conducted an internal project selection process for potential projects identified to be funded with ARRA. This internal process was similar to the process NCTD uses each year to determine the baseline constrained CIP, except that it also considered the objectives and requirements of the statute. Based on NCTD's internal selection process, NCTD proposes the following projects be funded with ARRA:

- Bridge 207.6 Construction (Trestles Bridge)
- Preventive Maintenance
- Technology Replacements & Enhancements
- Transit Enhancements (the law requires at least 1% be spent on this)
- Right-Of-Way Infrastructure Replacements
- Revenue Vehicle Replacements
- San Luis Rey Transit Center

Each of these projects is discussed in greater detail in Attachment 3A.

The public participation process required by the FTA is typically done at the regional level, which means that the process is conducted by SANDAG. For the proposed ARRA funded projects only, in keeping with the spirit of the transparency and accountability requirements of the statute, NCTD has elected to conduct additional public participation efforts and to hold an additional public hearing to maximize the opportunity for the public to provide input.

NCTD will develop a strategy to identify other grant opportunities within ARRA to support other capital needs.

**ATTACHMENT:** Attachment 3A – Proposed List of Projects to be Funded with ARRA  
Attachment 3B - Resolution

**FISCAL IMPACT:** Approval of the related grant applications would have the fiscal impact of providing funding for the specified capital projects totaling \$25,679,903.

**COMMITTEE  
REVIEW:**  
4/2/09

**STAFF CONTACT:** **Richard Hannasch**  
**Director of Administration & Finance**  
E-mail: [rhannasch@nctd.org](mailto:rhannasch@nctd.org) Phone: 760/967-2809

**Key Staff Contact: Diane Hessler, Chief Management Accountant**  
E-mail: [dhessler@nctd.org](mailto:dhessler@nctd.org) Phone: 760/966-6550



STAFF REPORT TO THE PLANNING COMMITTEE

Agenda Item # 4

TITLE: CALTRANS GRANT APPLICATIONS

Time Sensitive [X] Consent [X]

STAFF RECOMMENDATION:

Approve Resolutions authorizing the Executive Director to apply for Caltrans planning grants for: (1) translating a rail safety video into Spanish; and (2) securing Hispanic outreach services to promote ridership.

BACKGROUND INFORMATION:

The California Department of Transportation (Caltrans) is inviting applications for Transportation Planning Grant Programs. NCTD has submitted two grant requests to Caltrans, to be accompanied by approved Resolutions from the NCTD Board of Directors. The grants would be funded by the Environmental Justice Context Sensitive Planning program, and are intended to promote strong and healthy communities, economic growth, and protection of the environment.

The first attached Resolution supports NCTD's application to translate into Spanish the video San Diego Trains – Be Smart, Be Safe. NCTD would plan to distribute the translated video to Spanish speaking groups in North County.

The second attached Resolution supports NCTD's application for Spanish speaking outreach services. NCTD had previously received a grant from Caltrans to educate North County Spanish speaking community members about the new SPRINTER service. This grant application seeks to build on the successes of the first grant's efforts to promote greater Spanish ridership on all modes – BREEZE buses, SPRINTER trains, and COASTER trains.

If the grants are approved, NCTD would be required to provide 10% matching funds; half of the matching funds may be in-kind contribution of staff time or materials. If both grants are fully funded for the requested amounts, the required matching amount for Hispanic Outreach would total \$20,000; the match for translating the video would be \$600.

ATTACHMENT:

- 4A – Resolution for Translating Rail Safety Video into Spanish
4B - Resolution for Securing Hispanic Outreach Services to Promote Ridership

FISCAL IMPACT:

The approval of the grant applications would have the fiscal impact of providing funding for the specific projects discussed herein aggregating \$185,940 which, when combined with the local match requirement, would provide for aggregate project funding totaling \$206,600.

The project cost of translating the Rail safety video is \$6,600. The project cost of the Outreach grant application is \$200,000. A local match of \$600 and \$20,000 respectively will be required as a local match. All projects require a local match equal to 10 percent of the grant total (one-half may be in-kind and one-half must be local funding).

**COMMITTEE**

**REVIEW:**

4/02/09

**STAFF CONTACT:** **Richard Hannasch**  
**Director of Administration and Finance**  
E-mail: [rhannasch@nctd.org](mailto:rhannasch@nctd.org) Phone: 760/967-2809

**Key Staff Contact: Tom Kelleher, Manager of Marketing & Communications** E-mail: [tkelleher@nctd.org](mailto:tkelleher@nctd.org) Phone: 760/967-286



STAFF REPORT TO THE  
PLANNING COMMITTEE

Agenda Item #  
**5**

TITLE: SMART PARKING RESEARCH STUDY UPDATE

Time Sensitive  Consent

STAFF  
RECOMMENDATION:

Authorize the Executive Director to implement Option A for Smart Parking Enhanced Services.

BACKGROUND  
INFORMATION:

NCTD, SANDAG, Caltrans, and the Federal Highway Administration are collaborating to conduct a Smart Parking Research Study at six COASTER station parking lots. The purpose of this research study is to improve parking management, provide customers station-specific parking availability information, evaluate pricing strategies; and develop parking management business alternatives.

The study was initiated in 2007 and various status reports have been presented to the Planning Committee and NCTD Board. Based on NCTD Board direction, specific strategies, scenarios and timelines were developed to focus on solutions which increase the availability of parking for COASTER riders to include new signage, restricted, reserved and premium parking, and fee-based solutions. Specifically, these strategies were to include measures to provide free parking for COASTER/transit riders but to charge for other uses, including overnight parking.

Recently, presentations have been made to the Carlsbad and Encinitas City Councils on the program. During these presentations, concerns were raised regarding the process COASTER Monthly Pass or Day Pass customers would need to follow to obtain a monthly parking permit for placement on their dashboard at the trial COASTER stations. Specifically, COASTER customers would need to use their Compass Card to print out a parking permit in advance over the internet, place a ticket-vending machine ticket receipt on their dash, or call the parking management company and register by phone to park within one hour of arrival.

NCTD is concerned that charging new fees or changing the ease of parking today may negatively impact ridership. Accordingly, staff is recommending that a modified approach, providing enhanced parking services only be implemented with the pilot study at this time. This is outlined as Option A, in the discussion of two options below:

Option A: This option includes the implementation of enhanced parking services as a means of improving convenience and reliability of parking for COASTER users such as preferential parking for carpools and vanpools and advance reservation capability to reserve a parking spot.

Option B: Implement all of the enhanced services under Option A, plus require all patrons to display proof of COASTER/Transit use or pay a fee to park. Charges would also be imposed for overnight-parking.

A listing of the proposed Strategies for each Option is attached.

With either option, performance monitoring and reporting will be an on-going effort throughout the completion of the study. The findings will serve as the basis for examining the effectiveness, customer acceptance and sustainability of smart parking management solutions. Should the pilot project prove successful, the results would form the basis for a business model which NCTD could use to receive solicitations for long-term management opportunities.

If the Board agrees to start with Option A, Option B could be implemented at a later date.

**ATTACHMENT:** 5A - Parking Management Scenarios

**FISCAL IMPACT:** None at this time

**COMMITTEE  
REVIEW:**  
4/02/09

**STAFF CONTACT:** **Tom Lichterman, Director of Operations**

E-mail: [TLichterman@nctd.org](mailto:TLichterman@nctd.org) Phone: 760/9672855

**Key Staff Contact: Sam Johnson, Chief Technology Officer, SANDAG**

E-Mail: [sjo@sandag.org](mailto:sjo@sandag.org) Phone: 619/699-6958



**STAFF REPORT TO THE  
PLANNING COMMITTEE**

**Agenda Item #  
6**

**TITLE: COMPASS CARD STATUS UPDATE AND MARKETING PLAN**

Time Sensitive  Consent

**STAFF RECOMMENDATION:** For information only.

**BACKGROUND INFORMATION:** The Compass Card Program is the last element of the Regional Fare Technology Project. The Compass Card will use contact-less smart-card technology to automate fare collection on transit modes in the region. Beginning in April, the Compass Card is scheduled to be rolled out for COASTER fares, with other modes scheduled for future months.

At today's meeting, SANDAG and NCTD staff will provide a project status update and review marketing plans for the project.

**ATTACHMENT:** None.

**FISCAL IMPACT:** In 2002, a Memorandum of Understanding was executed between the San Diego Metropolitan Transit Development Board (now MTS) and the North San Diego County Transit Development Board (now NCTD, regarding costs for the Regional Automated Fare Collection System. The agreement allotted 75% of the costs to MTS and 25% of the costs to NCTD for "costs associated with central components and operation of the AFC system".

SANDAG has proposed that a new MOU be executed between SANDAG, NCTD, and MTS for the funding and operation of the Compass Card back office system.

SANDAG estimates the costs for FY 2009 to be about \$1.4 million, with NCTD's 25% share being about \$350,000. SANDAG estimates the costs for FY 2010 to be \$1,840,000, with NCTD's share being \$460,000.

If approved, these costs would be added to NCTD's operating budget.

**COMMITTEE REVIEW:**  
04/02/09

**STAFF CONTACT:** Tom Lichterman  
Director of Operations  
E-mail: [tlichterman@nctd.org](mailto:tlichterman@nctd.org) Phone: 760/967-2855

**Key Staff Contract:** James Driesbach-Towle, ITS Program Manager  
E-Mail: [jdr@sandag.org](mailto:jdr@sandag.org) Phone: 610/699-1914



STAFF REPORT TO THE PLANNING COMMITTEE

TITLE: UPDATE ON FY 2010 BUDGET

Time Sensitive  Consent

STAFF RECOMMENDATION:

For information only.

BACKGROUND INFORMATION:

At its March 19, 2009 meeting, the NCTD Board of Directors reviewed projected revenues and expenses for the next several budget years. In April, the NCTD Board will discuss more specific issues for the upcoming fiscal year 2010.

Future steps for the FY 2010 budget include:

- In May, providing notice of the public hearing on the proposed budget and making available the proposed budget for public review and comments.
- In June, presenting the proposed budget to the SANDAG Transportation Committee.
- At the June NCTD Board meeting, reviewing public comments received, conducting the public hearing on the proposed budget, and adopting the final budget.

ATTACHMENTS: None

FISCAL IMPACT: None at this time.

COMMITTEE REVIEW:

4/2/09

STAFF CONTACT:

Richard Hannasch
Director of Administration & Finance
E-mail: rhannasch@nctd.org Phone: 760/967-2809

Key Staff Contact: Cathy Sweet, Manager of Budgeting & Fare Collection
E-mail: csweet@nctd.org Phone: 760/966-6658

April 2, 2009

TO: North County Transit District Board Members  
FROM: Clerk of the Board  
SUBJECT: POSTING OF BOARD AGENDA

In Compliance with the Ralph M. Brown Act, As Amended, the following information is provided.

The Agenda for this meeting of the Planning Committee was posted as follows:

Meeting Date and Time: April 2, 2009; 3:00 p.m.

Posted At: 810 Mission Avenue, Oceanside, CA and  
311 So. Tremont, Oceanside, CA

Date & Time of Posting: March 27, 2009; 5:00 p.m.

Posted By: Clerk of the Board